

A Framework for Specifying, Prototyping, and Reasoning about Computational Systems

Andrew Gacek

Department of Computer Science and Engineering
University of Minnesota

PhD Defense
September 8, 2009

Motivation

We are interested in a framework for developing *formal systems*

Some example formal systems:

- Evaluation and typing in a programming language
- Provability in a logic
- Behavior in a concurrency system

A framework should support:

- Specification, prototyping, reasoning
- Working with objects with variable binding structure

Our Approach to Building a Framework

A logic-based approach:

- A *specification logic* which encodes formal systems through logical formulas
- Prototyping via a computational interpretation of the specification logic
- A *reasoning logic* which can internalize the specification logic and be used to prove properties of specifications

A higher-order approach:

- Both logics incorporate the λ -calculus in their term structure so we can represent binding
- They contain logical devices for analyzing such structure

Contributions

- The logic \mathcal{G} for reasoning about specifications
- Abella: an implementation of \mathcal{G} which incorporates the two-level logic approach to reasoning
- Rich examples constructed in Abella which verify the power of \mathcal{G} and the usefulness and practicality of the two-level logic approach to reasoning

Example: Mini-ML

Mini-ML Syntax

$a ::= \text{int} \mid a \rightarrow a$

$t ::= x \mid t t \mid (\text{fn } x:a \Rightarrow t)$

Mini-ML Evaluation

$t \Downarrow v$ means t evaluates to v

$$\frac{}{(\text{fn } x:a \Rightarrow r) \Downarrow (\text{fn } x:a \Rightarrow r)}$$

$$\frac{m \Downarrow (\text{fn } x:a \Rightarrow r) \quad r[x := n] \Downarrow v}{m n \Downarrow v}$$

Reasoning about Mini-ML

Theorem (Determinacy of Evaluation)

If $t \Downarrow v$ and $t \Downarrow w$ then $v = w$

Proof.

Induction on the derivation of $t \Downarrow v$

Proceed by cases,

- t and v are both $(\text{fn } x:a \Rightarrow r)$
Must be that w is $(\text{fn } x:a \Rightarrow r)$
- t is $m n$
 - Must have $m \Downarrow (\text{fn } x:a \Rightarrow r)$ and $r[x := n] \Downarrow v$
 - Must have $m \Downarrow (\text{fn } x:b \Rightarrow s)$ and $s[x := n] \Downarrow w$
 - By induction $r = s$, and thus by induction $v = w$

□

A Higher-order Abstract Syntax Representation

Object level binding can be represented with meta-level abstraction

Constants for Mini-ML

int :: *type*

arrow :: *type* → *type* → *type*

app :: *term* → *term* → *term*

fun :: *type* → (*term* → *term*) → *term*

Example

fn *x* : *int* => *fn* *y* : *int* => *x*

fun *int* ($\lambda x. \text{fun } \textit{int} (\lambda y. x)$)

Binding issues are now treated in the meta-level

Basic Structure for Reasoning

- Formulas over expressions from the simply-typed λ -calculus
- Atomic formulas encode object system judgments
- Relationships between judgments can be expressed with logical formulas
- The formal system provides a means for deriving sequents of the form:

$$H_1, \dots, H_n \longrightarrow C$$

Some Core Rules of the Logic

$$\frac{}{\Gamma, B \longrightarrow B} \textit{id}$$

$$\frac{\Gamma \longrightarrow B \quad B, \Gamma \longrightarrow C}{\Gamma \longrightarrow C} \textit{cut}$$

$$\frac{}{\Gamma, \perp \longrightarrow C} \perp\mathcal{L}$$

$$\frac{}{\Gamma \longrightarrow \top} \top\mathcal{R}$$

$$\frac{\Gamma, B_i \longrightarrow C}{\Gamma, B_1 \wedge B_2 \longrightarrow C} \wedge\mathcal{L}_i$$

$$\frac{\Gamma \longrightarrow B \quad \Gamma \longrightarrow C}{\Gamma \longrightarrow B \wedge C} \wedge\mathcal{R}$$

$$\frac{\Gamma \longrightarrow B \quad \Gamma, D \longrightarrow C}{\Gamma, B \supset D \longrightarrow C} \supset\mathcal{L}$$

$$\frac{\Gamma, B \longrightarrow C}{\Gamma \longrightarrow B \supset C} \supset\mathcal{R}$$

$$\frac{\Gamma, B[h/x] \longrightarrow C}{\Gamma, \exists x.B \longrightarrow C} \exists\mathcal{L}$$

$$\frac{\Gamma \longrightarrow B[t/x]}{\Gamma \longrightarrow \exists x.B} \exists\mathcal{R}$$

Definitions

The syntax of definitions: $\forall \vec{x}. H(\vec{x}) \triangleq B(\vec{x})$

Atomic formulas are interpreted as fixed-points of such definitions

$eval (fun A R) (fun A R) \triangleq \top$

$eval (app M N) V \triangleq \exists A. \exists R. eval M (fun A R) \wedge eval (R N) V$

We can encode this in a single definitional clause:

$$\begin{aligned} eval T V \triangleq & (\exists A, R. T = (fun A R) \wedge V = (fun A R)) \vee \\ & (\exists M, N, A, R. T = (app M N) \wedge \\ & \quad eval M (fun A R) \wedge eval (R N) V) \end{aligned}$$

Logical Rules for Definitions

Let p be defined by

$$\forall \vec{x}. p \vec{x} \triangleq B p \vec{x}$$

$$\frac{\Gamma, B p \vec{t} \longrightarrow C}{\Gamma, p \vec{t} \longrightarrow C} \text{ def}\mathcal{L}$$

$$\frac{\Gamma \longrightarrow B p \vec{t}}{\Gamma \longrightarrow p \vec{t}} \text{ def}\mathcal{R}$$

We also have rules for induction and co-induction for appropriate definitions

Formally Proving Determinacy of Evaluation

Theorem

$\forall t, v, w. (eval\ t\ v \wedge eval\ t\ w) \supset v = w$

Proof.

Apply rules for \forall , \wedge , and \supset

$eval\ t\ v, eval\ t\ w \longrightarrow v = w$

Case analysis on $eval\ t\ v$

- $t = v = (fun\ a\ r)$

$eval\ (fun\ a\ r)\ w \longrightarrow (fun\ a\ r) = w$

Case analysis on $eval\ (fun\ a\ r)\ w$

$\longrightarrow (fun\ a\ r) = (fun\ a\ r)$

- $t = (app\ m\ n) \dots$



Dynamic Aspects of Binding

Consider a typing judgment for Mini-ML

$$\frac{x : a \in \Gamma}{\Gamma \vdash x : a} \qquad \frac{\Gamma \vdash m : a \rightarrow b \quad \Gamma \vdash n : a}{\Gamma \vdash m \ n : b}$$
$$\frac{\Gamma, x : a \vdash r : b}{\Gamma \vdash (\text{fn } x : a \Rightarrow r) : a \rightarrow b} \quad x \notin \text{dom}(\Gamma)$$

of $\Gamma \ X \ A \triangleq \text{member } (X : A) \ \Gamma$

of $\Gamma \ (\text{app } M \ N) \ B \triangleq \exists A. \text{ of } \Gamma \ M \ (\text{arrow } A \ B) \wedge \text{ of } \Gamma \ N \ A$

of $\Gamma \ (\text{fun } A \ R) \ (\text{arrow } A \ B) \triangleq \nabla x. \text{ of } ((x : A) :: \Gamma) \ (R \ x) \ B$

Some Properties of the ∇ Quantifier

$\nabla x.F$ introduces a fresh “variable name” for x

We have the following structural properties for ∇ :

$$\nabla x.\nabla y.F \equiv \nabla y.\nabla x.F$$

$$\nabla x.F \equiv F \quad \text{if } x \text{ does not appear in } F$$

If we allow ∇ quantification at a type, then we assume there are infinitely many fresh names at that type

Logical Rules for the ∇ Quantifier

$$\frac{B[a/x], \Gamma \longrightarrow C}{\nabla x.B, \Gamma \longrightarrow C} \nabla\mathcal{L} \qquad \frac{\Gamma \longrightarrow B[a/x]}{\Gamma \longrightarrow \nabla x.B} \nabla\mathcal{R}$$

a is a nominal constant not appearing in B

The treatment of nominal constants requires permutations of nominal constants to be considered in the equivalence of formulas

In particular, we change the initial rule to

$$\frac{}{\Gamma, B \longrightarrow B'} \text{ id, if } B = \pi.B'$$

Typing Example with ∇

of $\Gamma X A \triangleq \text{member } (X : A) \Gamma$

of $\Gamma (\text{app } M N) B \triangleq \exists A. \text{of } \Gamma M (\text{arrow } A B) \wedge \text{of } \Gamma N A$

of $\Gamma (\text{fun } A R) (\text{arrow } A B) \triangleq \nabla x. \text{of } ((x : A) :: \Gamma) (R x) B$

$$\begin{array}{c}
 \vdots \\
 \longrightarrow \text{member } (c : \text{int}) ((d : \text{int}) :: (c : \text{int}) :: \text{nil}) \\
 \hline
 \longrightarrow \text{of } ((d : \text{int}) :: (c : \text{int}) :: \text{nil}) c \text{ int} \\
 \hline
 \longrightarrow \nabla x. \text{of } ((x : \text{int}) :: (c : \text{int}) :: \text{nil}) c \text{ int} \\
 \hline
 \longrightarrow \text{of } ((c : \text{int}) :: \text{nil}) (\text{fun int } (\lambda y. c)) (\text{arrow int int}) \\
 \hline
 \longrightarrow \nabla x. \text{of } ((x : \text{int}) :: \text{nil}) (\text{fun int } (\lambda y. x)) (\text{arrow int int}) \\
 \hline
 \longrightarrow \text{of nil } (\text{fun int } (\lambda x. \text{fun int } (\lambda y. x))) (\text{arrow int } (\text{arrow int int}))
 \end{array}$$

Reasoning about Type Uniqueness

$$\forall t, a, b. (\text{of nil } t \ a \wedge \text{of nil } t \ b) \supset a = b$$

$$\forall \Gamma, t, a, b. (\text{of } \Gamma \ t \ a \wedge \text{of } \Gamma \ t \ b) \supset a = b$$

$$\forall \Gamma, t, a, b. (\text{cntx } \Gamma \wedge \text{of } \Gamma \ t \ a \wedge \text{of } \Gamma \ t \ b) \supset a = b$$

cntx Γ should enforce

- $\Gamma = (x_1 : a_1) :: (x_2 : a_2) :: \dots :: (x_n : a_n) :: \text{nil}$
- Each x_i is atomic
- Each x_i is unique

Definitions can serve to capture such meta-level properties

$$\text{cntx nil} \triangleq \top$$

$$\text{cntx } ((X : A) :: L) \triangleq \text{“}X \text{ atomic and not occurring in } L\text{”} \wedge \text{cntx } L$$

Analyzing Occurrences of Nominal Constants

We introduce the device of *nominal abstraction*:

$$(\lambda x_1 \cdots \lambda x_n. s) \triangleright t$$

This holds exactly when there exist nominal constants c_1, \dots, c_n such that $(\lambda x_1 \cdots \lambda x_n. s)$ is equal to $(\lambda c_1 \cdots \lambda c_n. t)$

Examples

- “X is atomic”

$$(\lambda z. z) \triangleright X$$

- “X is atomic and does not occur in L ”

$$(\lambda z. \text{fresh } z \ L) \triangleright \text{fresh } X \ L$$

Nominal Abstraction as a Modular Extension of Equality

$$\overline{\Gamma \longrightarrow t = t} = \mathcal{R}$$

$$\frac{\{\Gamma[\theta] \longrightarrow C[\theta] \mid \text{all } \theta \text{ such that } (s = t)[\theta]\}}{s = t, \Gamma \longrightarrow C} = \mathcal{L}$$

$$\overline{\Gamma \longrightarrow s \triangleq t} \triangleq \mathcal{R}, \text{ if } s \triangleq t \text{ holds}$$

$$\frac{\{\Gamma[[\theta]] \longrightarrow C[[\theta]] \mid \text{all } \theta \text{ such that } (s \triangleq t)[[\theta]]\}}{s \triangleq t, \Gamma \longrightarrow C} \triangleq \mathcal{L}$$

$\cdot[[\cdot]]$ is a generalized notion of substitution which respects the scope of nominal constants

Summary of the Logic \mathcal{G}

We have a logic with ...

- simply-typed λ -terms for representation
- atomic formulas for encoding judgments
- fixed-point definitions for encoding rules
- induction (and co-induction) over appropriate fixed-point definitions
- ∇ quantifier for introducing fresh names
- nominal abstraction for analyzing occurrences of names

Cut and Cut-elimination

$$\frac{\Gamma \longrightarrow B \quad B, \Gamma \longrightarrow C}{\Gamma \longrightarrow C} \textit{ cut}$$

Cut is useful for...

- using lemmas during reasoning
- enabling shorter proofs
- allowing flexible proof construction

Cut is problematic for...

- proving the consistency of our logic
- designing automatic proof search

The best solution is to show *cut-elimination*

How to Prove Cut-elimination in General

To show that *cut* can be eliminated, we provide a syntactic procedure that eliminates instances *cut*

$$\frac{\frac{\frac{\Pi_1}{\Gamma \longrightarrow B_1} \quad \frac{\Pi_2}{\Gamma \longrightarrow B_2}}{\Gamma \longrightarrow B_1 \wedge B_2} \wedge \mathcal{R} \quad \frac{\frac{B_1, \Gamma \longrightarrow C}{B_1 \wedge B_2, \Gamma \longrightarrow C} \wedge \mathcal{L}_1}{\Gamma \longrightarrow C} \text{cut}}$$

$$\frac{\frac{\Pi_1}{\Gamma \longrightarrow B_1} \quad B_1, \Gamma \longrightarrow C}{\Gamma \longrightarrow C} \text{cut}$$

The difficulty is then showing that this procedure always terminates

Proving Cut-elimination for \mathcal{G}

Tiu and Momigliano prove cut-elimination for Linc^- (a subset of \mathcal{G}) using a notion of parametric reducibility for derivations that is based on the Girard's proof of strong normalizability for System F

A key lemma in this proof is:

- If $\Gamma \longrightarrow C$ has a proof then $\Gamma[\theta] \longrightarrow C[\theta]$ has a simpler proof
-

\mathcal{G} expands on Linc^- with ∇ -quantification, nominal constants, and nominal abstraction

The following two lemmas are key:

- If $\Gamma \longrightarrow C$ has a proof then $\langle \vec{\pi} \rangle . \Gamma \longrightarrow \pi . C$ has the same proof
- If $\Gamma \longrightarrow C$ has a proof then $\Gamma[\![\theta]\!] \longrightarrow C[\![\theta]\!]$ has a simpler proof

Then Tiu and Momigliano's proof extends to cut-elimination for \mathcal{G}

Adequacy

How do we connect results in \mathcal{G} to results about the object system?

- We show a bijection between the expressions of the object system and their representation as terms in \mathcal{G}
- We then show an “if and only if” relationship between judgments of the object system and their encoding as atomic formulas in \mathcal{G}

Adequacy means that this kind of connection exists between an object system and its encoding in a logic

Cut-elimination plays an essential role here since it restricts the sort of proofs we have to consider

Using Adequacy (Example)

Suppose we have proven

$$\forall T, V, A. (\text{eval } T \ V \wedge \text{of nil } T \ A) \supset \text{of nil } V \ A \quad (1)$$

Theorem

If $t \Downarrow v$ and $\vdash t : a$ then $\vdash v : a$

Proof.

- By adequacy we know $\longrightarrow \text{eval } \ulcorner t \urcorner \ulcorner v \urcorner$ and $\longrightarrow \text{of nil } \ulcorner t \urcorner \ulcorner a \urcorner$ have proofs in \mathcal{G}
- Using these with (1) and various rules of \mathcal{G} (particularly *cut*) we can construct a proof of $\longrightarrow \text{of nil } \ulcorner v \urcorner \ulcorner a \urcorner$
- By adequacy we know $\vdash v : a$ □

A Specification Logic

$$\frac{\Delta, A \Vdash G}{\Delta \Vdash A \supset G} \quad \frac{\Delta \Vdash G[c/x]}{\Delta \Vdash \forall x.G}$$

$$\frac{\Delta \Vdash G_1[\vec{t}/\vec{x}] \quad \dots \quad \Delta \Vdash G_m[\vec{t}/\vec{x}]}{\Delta \Vdash A}$$

where $\forall \vec{x}.(G_1 \supset \dots \supset G_m \supset A') \in \Delta$ and $A'[\vec{t}/\vec{x}] = A$

Proofs in this logic reflect computations in many formal systems

$\forall m, n, a, b.(\text{of } m \text{ (arrow } a \text{ } b) \supset \text{of } n \text{ } a \supset \text{of (app } m \text{ } n) \text{ } b)$

$\forall r, a, b.((\forall x.\text{of } x \text{ } a \supset \text{of (r } x) \text{ } b) \supset \text{of (fun } a \text{ } r) \text{ (arrow } a \text{ } b))$

The Two-level Logic Approach to Reasoning

The specification logic sequent $\Delta, L \Vdash G$ is encoded as the atomic formula $seq \ulcorner L \urcorner \ulcorner G \urcorner$

$$seq L (imp A G) \triangleq seq (A :: L) G$$

$$seq L (all B) \triangleq \nabla x. seq L (B x)$$

$$seq L A \triangleq member A L$$

$$seq L A \triangleq \exists b. prog A b \wedge seq L b$$

Where *prog* encodes the formulas of Δ :

$$prog (of (fun A R) (arrow A B)) \\ (all \lambda x. (imp (of x A) (of (R x) B))) \triangleq \top$$

Benefits of the Two-level Logic Approach to Reasoning

We can formally prove properties of *seq* once, and use them as lemmas about particular specifications

Monotonicity

$$\forall L, K, G. (\forall X. \text{member } X L \supset \text{member } X K) \supset \text{seq } L G \supset \text{seq } K G$$

Instantiation

$$\forall L, G. \nabla x. \text{seq } (L x) (G x) \supset \forall t. \text{seq } (L t) (G t)$$

Cut admissibility

$$\forall L, A, G. \text{seq } (A :: L) G \supset \text{seq } L A \supset \text{seq } L G$$

Implementation

Abella is an interactive, tactics-based implementation of the reasoning logic which focuses on the two-level logic approach to reasoning and hides most of the supporting machinery

- <http://abella.cs.umn.edu>
- Open source and freely available
- Includes documentation, walkthroughs, and live examples
- Released in February 2008
- Hundreds of downloads so far

Successful Applications

- Determinacy, type preservation, and equivalence of various evaluation strategies
- POPLmark Challenge 1a, 2a
- Cut admissibility for a sequent calculus with quantifiers
- Properties of bisimulation in the π -calculus
- Church-Rosser property for λ -calculus
 - Contributed by Randy Pollack
- Substitution for Canonical LF
 - Contributed by Todd Wilson
 - The “triple-8” and “double-3” proofs

Statement of the Triple-8 Lemma

```
Theorem subst_m&r : forall Tx Ty,
  stype Tx -> stype Ty ->
  forall Tx$ Ty$, {subst Tx$ Tx} -> {subst Ty$ Ty} ->
  (forall Xs N L L' M M' M', nabla x y,
    %XXX m vs. m (y x) %XXX
    vctx Xs -> tm = Xs N -> {Xs |- subst_m Tx$ L N L'} ->
    {Xs, var x |- subst_m Ty$ (y \ M x y) (L x) (M' x)} -> {Xs, var y |- subst_m Tx$ (x \ M x y) N (M' y)} ->
    exists M'', {Xs |- subst_m Tx$ M' N M''} /\ {Xs |- subst_m Ty$ M' L' M''}) /\
  (forall Xs N L L' R M' T' R', nabla x y,
    %XXX rm vs. rr (y x) %XXX
    vctx Xs -> tm = Xs N -> {Xs |- subst_m Tx$ L N L'} ->
    {Xs, var x |- subst_rm Ty$ (y \ R x y) (L x) (M' x) T'} -> {Xs, var y |- subst_rr Tx$ (x \ R x y) N (R' y)} ->
    exists M'', {Xs |- subst_m Tx$ M' N M''} /\ {Xs |- subst_rm Ty$ R' L' M' T'}) /\
  (forall Xs N L L' R R' M' T', nabla x y,
    %XXX rr vs. rm (y x) %XXX
    vctx Xs -> tm = Xs N -> {Xs |- subst_m Tx$ L N L'} ->
    {Xs, var x |- subst_rr Ty$ (y \ R x y) (L x) (R' x)} -> {Xs, var y |- subst_rm Tx$ (x \ R x y) N (M' y) T'} ->
    exists M'', {Xs |- subst_rr Tx$ R' N R''} /\ {Xs |- subst_rr Ty$ R' L' R''}) /\
  (forall Xs N L L' M M' M', nabla x y,
    %XXX m vs. m (x y) %XXX
    vctx Xs -> tm = Xs N -> {Xs |- subst_m Ty$ L N L'} ->
    {Xs, var x |- subst_m Tx$ (y \ M x y) (L x) (M' x)} -> {Xs, var y |- subst_m Ty$ (x \ M x y) N (M' y)} ->
    exists M'', {Xs |- subst_m Ty$ M' N M''} /\ {Xs |- subst_m Tx$ M' L' M''}) /\
  (forall Xs N L L' R M' T' R', nabla x y,
    %XXX rm vs. rr (x y) %XXX
    vctx Xs -> tm = Xs N -> {Xs |- subst_m Ty$ L N L'} ->
    {Xs, var x |- subst_rm Tx$ (y \ R x y) (L x) (M' x) T'} -> {Xs, var y |- subst_rr Ty$ (x \ R x y) N (R' y)} ->
    exists M'', {Xs |- subst_m Ty$ M' N M''} /\ {Xs |- subst_rm Tx$ R' L' M' T'}) /\
  (forall Xs N L L' R R' M' T', nabla x y,
    %XXX rr vs. rm (x y) %XXX
    vctx Xs -> tm = Xs N -> {Xs |- subst_m Ty$ L N L'} ->
    {Xs, var x |- subst_rr Tx$ (y \ R x y) (L x) (R' x)} -> {Xs, var y |- subst_rm Ty$ (x \ R x y) N (M' y) T'} ->
    exists M'', {Xs |- subst_rm Ty$ R' N M' T'} /\ {Xs |- subst_m Tx$ M' L' M''}) /\
  (forall Xs N L L' R R' R', nabla x y,
    %XXX rr vs. rr (x y) %XXX
    vctx Xs -> tm = Xs N -> {Xs |- subst_m Ty$ L N L'} ->
    {Xs, var x |- subst_rr Tx$ (y \ R x y) (L x) (R' x)} -> {Xs, var y |- subst_rr Ty$ (x \ R x y) N (R' y)} ->
    exists R'', {Xs |- subst_rr Ty$ R' N R''} /\ {Xs |- subst_rr Tx$ R' L' R''}).
```

Conclusions & Future Work

Summary of contributions:

- The logic \mathcal{G} and nominal abstraction
- The Abella system and its incorporation of the two-level logic approach to reasoning
- Rich examples which validate \mathcal{G} , Abella, and the two-level logic approach to reasoning

Future directions:

- Alternative specification logics
- Stronger forms of definitions and (co-)inductive principles
- Improving the usability of Abella
- An integrated toolset